W 2876/

PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of: Spencer A. Rathus et al.

Serial No.: 09/769,149

Group Art Unit: 2876

Filed: January 25, 2001

Examiner: Kim, Ahshik

For: METHOD AND APPARATUS FOR

Atty Doc. No. 660-027

ACCESSING ELECTRONIC DATA VIA A FAMILIAR PRINTED MEDIUM

Honorable Commissioner for Patents P.O. Box 1430 Alexandria, VA 22313-1430

#### LETTER

SIR:

Please be advised of the following related co-pending applications:

Attorney Docket Number	Examiner	Serial Number
660-015	Kim, Ahshik	09/769,134
660-016	Le, Thien Minh	09/769,136
660-017	Le, Thien Minh	09/769,137
660-018	Le, Thien Minh	09/769,144
660-019	Le, Thien Minh	09/769,146
660-020	Le, Thien Minh	09/769,139
660-021	Le, Thien Minh	09/769,138
660-022	Kim, Ahshik	09/769,140
660-023	Le, Uyen-Chau N.	09/769,142
660-025	Le, Thien Minh	09/769,143
660-026	Le, Thien Minh	09/769,141
660-027	Kim, Ahshik	09/769,149
660-028	Kim, Ahshik	09/769,148
660-029	Le, Thien Minh	09/769,147
660-030	Le, Thien Minh	09/747,295
660-031	Kim, Ahshik	09/769,134

Please feel free to contact the undersigned attorney with any questions.

Respectfully submitted,

Date: June 17, 2004

John W. Olivo, Jr.
Reg. No. 35,634
WARD & OLIVO
382 Springfield Avenue
Suite 300
Summit, NJ 07901
(908) 277-3333

PTO/SB/21 (02-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

A Character the Paperwork Reduction Act of 1995, no berson	Application Number	09/769,149	
TRANSMITTAL	Filing Date	1/25/2001	
FORM	First Named Inventor	Spencer A. Rathus et al.	
(to be used for all correspondence offer initial filing)	Art Unit	2876	·
(to be used for all correspondence after initial filing)	Examiner Name		
		Kim, Ahshik	
Total Number of Pages in This Submission 12	Attorney Docket Number	660-027	
ENC	LOSURES (Check all tha	t apply)	<del></del>
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Add  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	to Tec Appea of Appe Appea (Appea Propri Status Other	Allowance communication chnology Center (TC) al Communication to Board peals and Interferences al Communication to TC al Notice, Brief, Reply Brief) ietary Information s Letter Enclosure(s) (please fy below):
SIGNATURE	OF APPLICANT, ATTORN	IEY, OR AGENT	
Firm or Individual name Signature  Ward & Olivo 382 Springfield Ave. Summit, NJ 07901  To he Mary or To he will be a series of the series of t			
Date 6/18/04			
CERTIFI	CATE OF TRANSMISSIO	N/MAIL INC	
I hereby certify that this correspondence is being face sufficient postage as first class mail in an envelope at the date shown below.	simile transmitted to the USPTO of	r deposited with the Un	
Typed or printed name Edward P. Bakos, Esq.			
Signature		С	Date 6/18/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (01-03) Approved for use through 04/30/2003. OMB 0651-0032

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

John W. Olixo,

Name (Print/Type)

Signature

**TOTAL AMOUNT OF PAYMENT** 

(4)	100.00
(3)	180.00

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Co	omplete if Known
Application Number	09/769,149
Filing Date	1/25/2001
First Named Inventor	Spencer A. Rathus
Examiner Name	Kim, Ahshik
Art Unit	2876
Attorney Docket No.	660-027

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)	
Check Credit card Money Other None	3. ADDITIONAL	L FEES	
✓ Deposit Account:	Large Entity   Small	Il Entity	
Deposit Account 23-0420	Fee Fee Code	Fee Fee Description <u>F</u>	ee Paid
Number	1051 130 2051	65 Surcharge - late filing fee or oath	
Deposit Account Name Ward & Olivo	1052 50 2052	25 Surcharge - late provisional filing fee or cover sheet	
The Commissioner is authorized to: (check all that apply)	1053 130 1053		
Charge fee(s) indicated below Credit any overpayments		2,520 For filing a request for ex parte reexamination	<del></del>
Charge any additional fee(s) during the pendency of this application	1804 920* 1804	4 920* Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1,840* 1805	1,840* Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251 110 2251		
1. BASIC FILING FEE	1252 410 2252	2 205 Extension for reply within second month	
Large Entity Small Entity	1253 930 2253	3 465 Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid	1254 1,450 2254	725 Extension for reply within fourth month	
Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee	1255 1,970 2255	985 Extension for reply within fifth month	
1002 330 2002 165 Design filing fee	1401 320 2401	1 160 Notice of Appeal	
1003 520 2003 260 Plant filing fee	1402 320 2402		
1004 750 2004 375 Reissue filing fee	1403 280 2403	3 140 Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451 1,510 1451	1,510 Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0	1452 110 2452	2 55 Petition to revive - unavoidable	
	1453 1,300 2453	650 Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,300 2501	650 Utility issue fee (or reissue)	
Total Claims Extra Claims below Fee Paid	1502 470 2502	2 235 Design issue fee	
Independent	1503 630 2503	3 315 Plant issue fee	
Claims - 3** = X = = X = = Multiple Dependent	1460 130 1460	0 130 Petitions to the Commissioner	
	1807 50 1807	7 50 Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity   Fee Fee   Fee Fee Fee Fee Fee Fee Description	1806 180 1806	Local de la companie	180.00
Code (\$) Code (\$)	8021 40 802	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3	1809 750 2809		149 TEO 100 11
1203 280 2203 140 Multiple dependent claim, if not paid	1810 750 2810	` ' ' ' ' ' <b>-</b>	\$ B
1204 84 2204 42 ** Reissue independent claims		examined (37 CFR 1.129(b))	9769149
over original patent  1205 18 2205 9 ** Reissue claims in excess of 20	1801 750 2801 1802 900 1802	,	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1002 900 1802	of a design application	00003
SUBTOTAL (2) (\$) <sup>0</sup>	Other fee (specify) _		_
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic I	Filing Fee Paid SUBTOTAL (3) (\$) 180.00	8
SUBMITTED BY		(Complete (if applicable)	8

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Registration No.

(Attorney/Agent)

35.634

Telephone 908-277-3333

Date

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#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	09/769,1 <b>49</b>			
Filing Date	1/25/2001			
First Named Inventor	Spencer A. Rathus			
Art Unit	2876			
Examiner Name	Kim, Ahshik			
Attorney Docket Number	660-027			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 3,705,384	12-05-1972	Wahlberg	<u> </u>
	2	<sup>US-</sup> 3,735,350	05-22-1973	Lemelson	
	3	<sup>US-</sup> 3,976,995	08-24-1976	Sebestyen	
	4	<sup>US-</sup> 3,989,929	11-02-1976	Treiber	
	5	<sup>US-</sup> 4,117,542	09-26-1978	Klausner et al.	
	6	<sup>US-</sup> 4,283,621	08-11-1981	Pembroke	
	7	<sup>US-</sup> 4,418,278	11-29-1983	Mondshein	
	8	<sup>US-</sup> 4,492,164	01-08-1985	Cassanas et al.	
	9	<sup>US-</sup> 4,601,573	07-22-1986	Utsugi	
	10	<sup>US-</sup> 4,609,358	09-02-1986	Sangster	
	11	<sup>US-</sup> 4,659,213	04-21-1987	Matsumoto	
	12	<sup>US-</sup> 4,711,994	12-08-1987	Greenberg	
	13	<sup>US-</sup> 4,723,212	02-02-1988	Mindrum et al.	
	14	<sup>US-</sup> 4,793,813	12-27-1998	Bitzer et al.	
	15	US- 4,812,879	03-14-1989	Suzuki	
	16	<sup>US-</sup> 4,813,350	03-21-1989	Drillick	
	17	<sup>US-</sup> 4,820,167	04-11-1989	Nobles et al.	
	18	<sup>US-</sup> 4,825,058	04-25-1989	Poland	
	19	<sup>US-</sup> 4,839,507	06-13-1989	Мау	

		FORE	IGN PATENT DOCU	IMENTS		
Examiner Cite Initials* No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
	20	GB 2 109 600 A	06-02-1983	Brooke		
	21	JP 60-46,684	03-13-1985	Masaaki et al.		
	22	JP 62-98,334	05-07-1987	Yoshitomo, Abe		
	23	JP 362264752 A	11-17-1987	Suda, Koji		
	24	JP 363203045 A	08-22-1988	Asano, Eisuke		
	25	JP 363296560 A	12-02-1988	Mori, Naoki		

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (08-03)

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	Complete if Known			
	Application Number	09/769,149		
	Filing Date	1/25/2001		
	First Named Inventor	Spencer A. Rathus		
	Art Unit	2876		
	Examiner Name	Kim, Ahshik		
•	Attorney Docket Number	660-027		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	26	<sup>US-</sup> 4,868,375	09-19-1989	Blanford	
	27	<sup>US-</sup> 4,897,865	01-30-1990	Canuel	
	28	<sup>US-</sup> 4,910,672	03-20-1990	Off et al.	
	29	<sup>US-</sup> 4,984,155	01-08-1991	Geier et al.	
	30	<sup>US-</sup> 4,992,824	02-12-1991	Plummer	
	31	<sup>US-</sup> 5,002,491	03-26-1991	Abrahamson et al.	
	32	<sup>US-</sup> 5,003,577	03-26-1991	Ertz et al.	
	33	<sup>US-</sup> 5,021,984	06-04-1991	Meade et al.	
	34	<sup>US-</sup> 5,027,196	06-25-1991	Ono et al.	
	35	<sup>US-</sup> 5,031,098	07-09-1991	Miller et al.	
	36	<sup>US-</sup> 5,080,399	01-14-1992	Olson	"
	37	<sup>US-</sup> 5,083,271	01-21-1992	Thacher et al.	
	38	<sup>US-</sup> 5,108,052	04-28-1992	Malewicki et al.	
	39	<sup>US-</sup> 5,111,196	05-05-1992	Hunt	
·	40	<sup>US-</sup> 5,119,188	06-02-1992	McCalley et al.	
	41	<sup>US-</sup> 5,126,547	06-30-1992	Norman, Jr.	
	42	<sup>US-</sup> 5,142,662	08-25-1992	Gump et al.	
	43	<sup>US-</sup> 5,148,297	09-15-1992	Ishii et al.	
	44	<sup>US-</sup> 5,151,687	09-29-1992	Younger	

		FORE	IGN PATENT DOCU	IMENTS			
	Cite No.1		Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵	
	45	EP 357899 A	03-14-1990	Schulz			
	46	JP 02103025 A	04-16-1990	Hirata			
	47	JP 02127792 A	05-16-1990	Oki, Shinji			
	48	JP 402279393 A	11-15-1990	Yuasa, Mitsutok			
	49	GB 2 232 119 A	12-05-1990	Webster et al.			
	50	JP 403060558 A	03-15-1991	Inaba, Satoshi			

Examiner	Date	
Signature		
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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of | 8

Con	nplete if Known
Application Number	09/769,149
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Art Unit	2876
Examiner Name	Kim, Ahshik
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			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	51	US- 5,168,303	12-01-1992	Ikenoue et al.	· · · · · · · · · · · · · · · · · · ·
	52	<sup>US-</sup> 5,173,851	12-22-1992	Off et al.	
	53	US- 5,181,062	01-19-1993	Kazumi, Jiro	
			02-09-1993		
	54	<sup>US-</sup> 5,185,857	<del></del>	Rozmanith et al.	
	55	<sup>US-</sup> 5,204,813	04-20-1993	Samph et al.	
	56	<sup>US-</sup> 5,211,287	05-18-1993	Weisburn et al.	
	57	<sup>US-</sup> 5,222,624	06-29-1993	Burr	
	58	<sup>US-</sup> 5,235,509	08-10-1993	Mueller et al.	
	59	<sup>US-</sup> 5,237,156	08-17-1993	Konishi et al.	
	60	<sup>US-</sup> 5,243,174	09-07-1993	Veeneman et al.	
	61	<sup>US-</sup> 5,262,860	11-16-1993	Fitzpatrick et al.	
	62	<sup>US-</sup> 5,287,181	02-15-1994	Holman	
	63	<sup>US-</sup> 5,288,977	02-22-1994	Amendolia et al.	
	64	<sup>US-</sup> 5,295,064	03-15-1994	Malec et al.	
	65	<sup>US-</sup> 5,295,836	03-22-1994	Ryu et al.	
	66	<sup>US-</sup> 5,302,990	04-12-1994	Satoh et al.	
	67	<sup>US-</sup> 5,310,349	05-10-1994	Daniels et al.	
	68	<sup>US-</sup> 5,319,542	06-07-1994	King et al.	
	69	<sup>US-</sup> 5,353,219	10-04-1994	Mueller et al.	

		FORE	IGN PATENT DOCL	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>€</sup>
	70	JP 03151263 A	06-27-1991	Osawa	1	
	71	JP 03174693 A	07-29-1991	Ashida et al.		
	72	JP 04-023,183	01-27-1992	Masahide		
	73	JP 404269048 A	09-25-1992	Wantanabe		
	74	JP 06110913 A	04-22-1994	Watanabe		
	75	JP 406188962 A	07-08-1994	Nakamura, Teruo		

Examiner	 Date	
Signature	Considered	
Cignature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Art Unit	2876
Examiner Name	Kim, Ahshik
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_			U. S. PATEN	DOCUMENTS	* ***
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	76	<sup>US-</sup> 5,368,129	11-29-1994	Von Kohorn	
	77	<sup>US-</sup> 5,369,571	11-29-1994	Metts	
	78	<sup>US-</sup> 5,385,371	01-31-1995	Izawa	
	79	<sup>US-</sup> 5,385,475	01-31-1995	Sudman et al.	
	80	<sup>US-</sup> 5,397,410	03-14-1995	Handly	
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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
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Signature	Considered	

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Cor	mplete if Known	
Application Number	09/769,149	
Filing Date	1/25/2001	
First Named Inventor	Spencer A. Rathus	-
Art Unit	2876	
Examiner Name	Kim, Ahshik	
Attorney Docket Number	660-027	

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Signature	Considered	
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Coi	mplete if Known	
Application Number	09/769,149	
Filing Date	1/25/2001	
First Named Inventor	Spencer A. Rathus	
Art Unit	2876	
Examiner Name	Kim, Ahshik	
Attorney Docket Number	660-027	

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Art Unit	2876	
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